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WILLIAM H. WEBB (1929-1997)

June 19, 2001

VIA CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Suresh C. Gupta
3351 Rossmore Way
Los Alamitos, CA 90720

Re: U.S. Continuation Application Serial No. 09/840,572 entitled
"Electrical System for Turbine/Alternator on Common Shaft"
Our File 010698 Your Ref: ELL-427

Dear Mr. Gupta:

As you are aware, we have been prosecuting a United States application as well as corresponding foreign applications on the above-identified invention. We recently filed a continuation application in the United States and are once again faced with a requirement for submitting certain formal papers so that the application can proceed to examination on the merits. You have already been sent copies of the previously filed United States application, and the United States continuation application is merely a copy of the application already in your possession.

To that effect, I am enclosing originals of the following documents for you to sign and date:

1. "Declaration and Power of Attorney" for the United States continuation application; and
2. "Assignment" for the United States continuation application.

A self-addressed, stamped envelope is enclosed for your convenience in returning these signed documents to me.

Mr. Suresh C. Gupta

-2-

June 19, 2001

If you have any questions, please contact me. Otherwise, I look forward to receiving the signed documents as soon as possible, as we are facing a mid-July 2001 filing deadline in the United States Patent and Trademark Office.

Very truly yours,



Richard L. Byrne

RLB/dp

Enclosures

bcc: William K. Cox, Esq. (w/o encl.)
Hideyuki Kuwajima (w/o encl.)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

SURESH C. GUPTA et al.

Serial No. 09/840,572

Filed April 23, 2001

Group Art Unit: 3746

Examiner Not Yet Assigned

ELECTRICAL SYSTEM
FOR TURBINE/ALTERNATOR
ON COMMON SHAFT

Pittsburgh, Pennsylvania
July 23, 2001

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION FILED UNDER 37 CFR 1.53(b)**

BOX MISSING PARTS
Commissioner for Patents
Washington, DC 20231

Sir:

Enclosed for your review and filing are the following documents to complete the filing of the United States patent application, filed April 23, 2001, bearing serial no. 09/840,572, which application is a continuation of Application serial no. 09/319,390, filed July 19, 2000.

1. a Declaration and Power of Attorney signed by three (3) of the four (4) joint inventors on their own behalf and on behalf of the one omitted inventor who refused to sign;

2. a Petition to File Under 37 C.F.R. § 1.47(a);

3. a Declaration of Facts by Richard L. Byrne, Esquire, including copies of appendices;

4. the Part 2 of the Notice to File Missing Parts of Nonprovisional Application dated May 23, 2001;

5. a check in the amount of \$130.00 to cover the surcharge for late filing of the formal documents for the above-referenced patent application; and

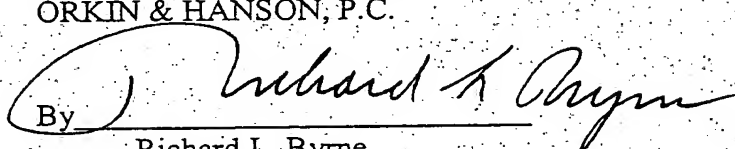
6. a check in the amount of \$130.00 to cover the fee for the Petition to File Under 37 C.F.R. § 1.47(a).

The Commissioner for Patents is hereby authorized to charge any additional fees which may be required as set forth in 37 C.F.R. 1.16 and 1.17, or credit any overpayment to Deposit Account No. 23-0650. The original and two copies of this Letter are attached.

Respectfully submitted,

WEBB ZIESENHEIM LOGSDON
ORKIN & HANSON, P.C.

By



Richard L. Byrne
Registration No. 28,498
Attorney for Applicants
700 Koppers Building
436 Seventh Avenue
Pittsburgh, PA 15219-1818
Telephone No. 412-471-8815
Facsimile No. 412-471-4094

Z 562 160 037

US Postal Service

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- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

Mr - Suresh C. Gupta
3351 Rossmore Way
Los Alamitos, CA 90720

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C. Signature

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4. Restricted Delivery? (Extra Fee)

☐ Yes

2. Article Number (Copy from service label)

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436 Seventh Avenue
Pittsburgh, PA 15219

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SEP 17 2002

OFFICE OF PETITIONS

In re Application of :
Suresh C. Gupta et al :
Application No. 09/840,572 : DECISION GRANTING
Filed: April 23, 2001 : STATUS UNDER 37 CFR 1.47(a)
Attorney Docket No: 3419-010698 :

This is in response to the petition under 37 CFR 1.47(a), filed July 23, 2001.

The petition is granted.

Petitioner has shown that the nonsigning inventor has refused to join in the filing of the above-identified application.

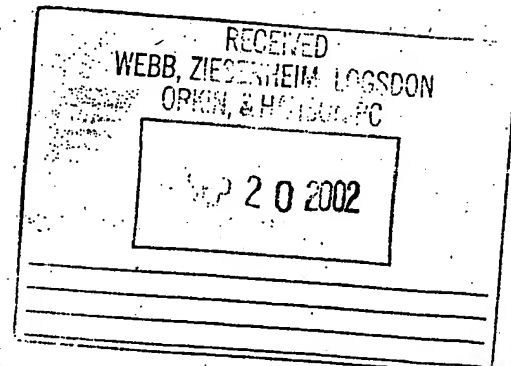
The above-identified application and papers have been reviewed and found in compliance with 37 CFR 1.47(a). This application is hereby accorded Rule 1.47(a) status. As provided in Rule 1.47(c), this Office will forward notice of this application's filing to the nonsigning inventor at the address given in the petition. Notice of the filing of this application will also be published in the Official Gazette.

Telephone inquiries regarding this decision should be directed to the undersigned at (703) 305-8680.

This application is being forwarded to Technology Center AU 2834 for appropriate action on the reply received June 11, 2002.

Frances Hicks
Frances Hicks

Petitions Examiner
Office of Petitions
Office of the Deputy Commissioner
for Patent Examination Policy





UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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OFFICE OF PETITIONS

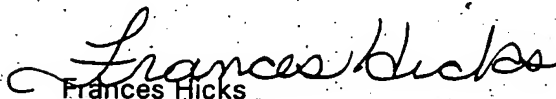
In re Application of
Suresch C. Gupta et al
Application No. 09/840,572
Filed: April 23, 2001
For: ELECTRICAL SYSTEM FOR TURBINE/ALTERNATOR ON COMMON SHAFT

Dear Mr. Gupta:

You are named as a joint inventor in the above identified United States patent application, filed under the provisions of 35 U.S.C. 116 (United States Code), and 37 CFR 1.47(a), Rules of Practice in Patent Cases. Should a patent be granted on the application you will be designated therein as a joint inventor.

As a named inventor you are entitled to inspect any paper in the file wrapper of the application, order copies of all or any part thereof (at a prepaid cost per 37 CFR 1.19) or make your position of record in the application. Alternatively, you may arrange to do any of the preceding through a registered patent attorney or agent presenting written authorization from you. If you care to join in the application, counsel of record (see below) would presumably assist you. Joining in the application would entail the filing of an appropriate oath or declaration by you pursuant to 37 CFR 1.63.

Telephone inquiries regarding this communication should be directed to the undersigned at (703) 305-8680. Requests for information regarding your application should be directed to the File Information Unit at (703) 308-2733. Information regarding how to pay for and order a copy of the application, or a specific paper in the application, should be directed to Certification Division at (703) 308-9726 or 1 (800) 972-6382 (outside the Washington D.C. area).


Frances Hicks
Petitions Examiner
Office of Petitions
Office of the Deputy Commissioner
for Patent Examination Policy

cc:

Richard L. Byrne
Webb Ziesenheim Logsdon Orkin & Hanson P.C.
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Pittsburgh, PA 15219